

Privacy Policy

Rev. January 2021

FACTS

WHAT DOES MILESTONE INVESTMENTS, INC. DO WITH YOUR PERSONAL INFORMATION?

Why? Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What? The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and Date of Birth
- New Worth and Income
- Account balances and Assets
- Transaction History
- ACH and Wire Transfer Instructions

How? All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Milestone Investments, Inc. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Milestone Investments, Inc. share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes — to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	Not Applicable
For our affiliates' everyday business purposes — information about your transactions and experiences	No	Not Applicable
For our affiliates' everyday business purposes — information about your creditworthiness	No	Not Applicable
For our affiliates to market to you	No	Not Applicable
For nonaffiliates to market to you	No	Not Applicable

Questions? Call our Chief Compliance Officer at 877-716-2749 or go to our website, milestoneinvestmentsinc.com.

Who we are	
Who is providing this notice?	Milestone Investments, Inc.
What we do	
How does Milestone Investments, Inc. protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Milestone Investments, Inc. collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ▪ Open and account ▪ Make deposits or withdrawals from your accounts ▪ Seek advice about you investments ▪ Enter into an investment advisory contract ▪ Share information about your investments, goals, risk profile or earnings <p>We also collect your personal information from other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ▪ Sharing for affiliates' everyday business purposes— information about your creditworthiness ▪ Affiliates from using your information to market to you ▪ Sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>We have no affiliates.</i>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>We may share personal information with non-affiliated third parties such as custodians, broker/dealers, banks, investment advisors and software providers for business purposes.</i> ▪ <i>We may also share personal information with parties who provide technical support for our hardware and software systems and/or our legal and accounting professionals.</i> ▪ <i>We do not share with non-affiliates so that they can market to our clients.</i>
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ▪ <i>We do not jointly market.</i>